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09/9666/60

Vidas, Arrett & Steinkraus Utility Patent Application Transmittal		Atty. Docket No	S63.2-10142
		First Inventor	Austin
		Title:	An Apparatus for Contracting or Crimping Stents
		Express Mail Label No.	EL813908635US
Application Elements		Address To:	Commissioner for Patents Box Patent Application Washington, DC 20231

1. <input checked="" type="checkbox"/>	Fee Transmittal Form	Pages 2
	<input checked="" type="checkbox"/> Check Included	
2. <input type="checkbox"/>	Applicant claims small entity status	
3. <input checked="" type="checkbox"/>	Specification (including 0pg cover sheet, 14pg description, 4pg claims and 1pg abstract)	Pages 19
4. <input checked="" type="checkbox"/>	Drawings	Pages 15
5. <input checked="" type="checkbox"/>	Oath or Declaration	Pages 3
	a. <input type="checkbox"/> Newly executed (original or copy)	
	b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed)	
	i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application	Pages
6. <input checked="" type="checkbox"/>	Application Data Sheet	Pages 1
7. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & documents and check)	Pages 0
	<input checked="" type="checkbox"/> Previously recorded on 9/22/99, Reel 010271, Frames 0062-0063	
8. <input type="checkbox"/>	Power of Attorney	Pages
	<input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee)	Pages
9. <input type="checkbox"/>	English Translation Document	Pages
10. <input type="checkbox"/>	Information Disclosure Statement	Pages
	<input type="checkbox"/> Copies of Citations (references)	
11. <input checked="" type="checkbox"/>	Preliminary Amendment	Pages 6
12. <input checked="" type="checkbox"/>	Return Receipt Postcard	Pages 1
13. <input type="checkbox"/>	Certified Copy of Priority Document	Pages
14. <input type="checkbox"/>	Nonpublication Request	Pages
15. <input checked="" type="checkbox"/>	Constructive Petition	Pages 1
16. <input checked="" type="checkbox"/>	Limited Authorization	Pages 1
17. <input checked="" type="checkbox"/>	VAS Utility Patent Application Transmittal	Pages 1
18. <input type="checkbox"/>	Other	Pages

19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet


☒ Continuation ☐ Divisional ☐ Continuation-in-part Of prior application no. 09/401,218

Prior Application Information: Examiner Marc Jimenez Group Art Unit 3726


For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

20. TOTAL NUMBER OF PAGES 50

21. CORRESPONDENCE ADDRESS


00490
PATENT TRADEMARK OFFICE

INSERT CUSTOMER NUMBER LABEL ABOVE

Name	Jonathan Grad, Reg. No. 41,795	
Signature		Date 9/28/2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not yet assigned
Filing Date	Concurrently herewith
First Named Inventor	Austin
Examiner Name	Marc Jimenez
Group Art Unit	3726
Attorney Docket No.	S63.2-10142

TOTAL AMOUNT OF PAYMENT (\$710.00)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees to:

Deposit Account Number 22-0350

Deposit Account Name Vidas, Arrett & Steinkraus

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	---
107	490	207	245	Plant filing fee	---
108	710	208	355	Reissue filing fee	---
114	150	214	75	Provisional filing fee	---

SUBTOTAL (1) \$ 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
15 - 20** = 0 X	\$18.00	= 0.00	
Independent Claims 2 - 3** = 0 X	\$80.00	= 0.00	
Multiple Dependent	---	= 0.00	

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	---
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	---
139	130	139	130	Non-English specification	---
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	---
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	---
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	---
115	110	215	55	Extension for reply within first month	---
116	390	216	195	Extension for reply within second month	---
117	890	217	445	Extension for reply within third month	---
118	1,390	218	695	Extension for reply within fourth month	---
128	1,890	228	945	Extension for reply within fifth month	---
119	310	219	155	Notice of Appeal	---
120	310	220	155	Filing of Appeal	---
121	270	221	135	Request for oral hearing	---
138	1,510	138	1,510	Petition to institute a public use proceeding	---
140	110	240	55	Petition to revive - unavoidable	---
141	1,240	241	620	Petition to revive - unintentional	---
142	1,240	242	620	Utility issue fee (or reissue)	---
143	440	243	220	Design issue fee	---
144	600	244	300	Plant issue fee	---
122	130	122	130	Petitions to the Commissioner	---
123	50	123	50	Processing fee under 37 CFR 1.17(q)	---
126	180	126	180	Submission of Information Disclosure Stmt	---
581	40	581	40	Recording each patent assignment per property (times number of properties)	---
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	---
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	---
179	710	279	355	Request for Continued Examination (RCE)	---
169	900	169	900	Request for expedited examination of a design application	---

Other Fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)
(\$0.00)

Jonathan Grad, Reg. No. 41,795

Signature

Telephone 952-563-3000

Date 9/28/2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Michael Austin
Title:	An Apparatus for Contracting or Crimping Stents
Filed:	<input checked="" type="checkbox"/> concurrently herewith <input type="checkbox"/> on _____ and assigned Serial No. _____

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Docket No.: S63.2-10142

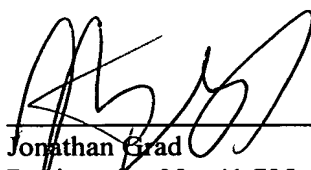
**CONSTRUCTIVE PETITION FOR EXTENSION OF TIME AND FEE
AUTHORIZATION PURSUANT TO 37 C.F.R. §1.136(a)(3)**

Applicant hereby requests that the United States Patent and Trademark Office treat any concurrent or future reply requiring a petition for an extension of time pursuant to §1.136 for its timely submission as incorporating therein a petition for an extension of time for the appropriate length of time.

Applicant authorizes the Commissioner of Patents and Trademarks to charge all required extension of time fees that have not otherwise been paid to Deposit Account No. 22-0350.

Respectfully submitted,
VIDAS, ARRETT & STEINKRAUS

Date: 9/28/01

By: 
Jonathan Grad
Registration No. 41,795

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